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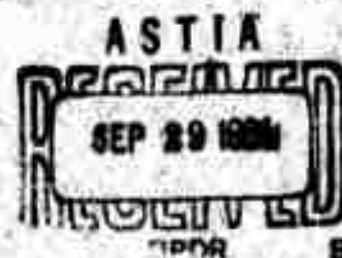
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QUATERNARY CYCLIC CODES

Gustave Solomon



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# QUATERNARY CYCLIC CODES

by

G. Solomon

## ABSTRACT

We consider cyclic codes for the quaternary alphabet, the field  $K = GF(2^2)$ . If  $A$  is a  $(k, n)$  ( $n$  odd) quaternary group codes - i.e., a  $k$ -dimensional subspace of ordered  $n$ -tuples of  $K$  elements - then  $A$  is isomorphic via the Solomon-Mattson polynomials, to a subgroup of the direct product of  $K$  with  $r$  copies of  $L$ . ( $L$  is the smallest field over  $K$  containing the  $n^{\text{th}}$  roots of unity and  $r$  is the number of irreducible factors of  $x^n + 1/x + 1$  over  $K$ .)

Let  $d(A, K)$  be the minimum weight of non-zero vectors of  $A$ . For  $p$ , a prime, and  $A$ , a  $(k, p)$  cyclic  $K$  code,  $d(A, K) \geq d(A, F)$  where  $d(A, F)$  is the Bose-Chaudhuri bound for the corresponding binary cyclic codes of the same order (if there is one). Number theoretic methods are introduced to improve the Zierler-Gorenstein lower bound for certain primes  $p$ . For  $p$  such that 2 has multiplicative order  $p-1$ , there exists  $(p+1/2, p)$  cyclic codes with  $d(p) \geq 3$  if 3 is not a quadratic residue of  $p$ ,  $d(p) \geq 4$  if 3 is a quadratic residue of  $p$ , and  $d \geq 5$  if both 3 and 5 are quadratic residues of  $p$ .

GS:jj

## I. Introduction

In this report we consider cyclic codes for the special alphabet of  $2^2$  symbols. Interest in coding for this particular alphabet arose from private discussions with Dr. Robert Price. The work of M. Golay<sup>(4)</sup> in the penny-weighting problem gives general results for alphabet of  $p^m$  symbols. In addition, Zierler and Gorenstein<sup>(5)</sup> have formulated decoding procedures for cyclic codes using  $p^m$  symbols. We apply the methods of (2) and (3) to treat the special case. We improve the previous error correcting estimates and indicate how number-theoretic properties of primes enter in the general problem. The results are easily analogized to  $p^2$  symbol alphabets and from there generalizable to  $p^m$  symbols.

## II. Preliminaries

The alphabet we wish to encode shall be elements of the field  $K = GF(2^2)$  of degree 2 over  $F$ ; the field of two elements.  $K$  contains the elements  $0, 1, \alpha, \alpha^2$  subject to addition modulo 2 and the rule  $\alpha^2 + \alpha + 1 = 0$ . We are interested in linear mappings of  $V_k(K)$  into  $V_n(K)$  for  $n$  odd. These are the  $(k, n)$  group codes. We shall consider here a subclass of these codes which are generated by linear recursion. We derive the general error-correcting properties for these codes and give algorithms for particular  $(p)$  to improve the general estimates.

Let  $a = (a_0, a_1, \dots, a_{n-1})$  be a vector of  $V_n(K)$ . Following (2), (3) we associate a polynomial of degree less than or equal  $(n-1)$  to the vector  $a$ , such that  $g_a(\beta^i) = a_i$  where  $\beta$  is a fixed primitive generator of the  $n$ th roots of unity. Corresponding to  $a = (0, \dots, 0)$

we put  $g_a(x) = 0$ . Putting  $g_a(x) = \sum_{i=0}^{n-1} c_i x^i$  and using  $g_a(\beta^i) \in K$

for  $i = 0, 1, \dots, n-1$ , we obtain the condition that

$$g_a(x)^4 = g_a(x) \text{ for } x = \beta^i \quad i = 0, 1, \dots, n-1$$

which yields

$$(\sum c_i x^i)^4 = (\sum c_i x^i) .$$

Reducing the powers of  $x$  modulo  $n$  gives us conditions on the  $c_i$

$$c_0^4 = c_0; \quad c_{4i} = c_i^4 \quad 1 \leq i \leq n-1 .$$

The constants are now partitioned into mutually disjoint classes. Thus the polynomial  $g_a(x)$  has in reality very few independent constants. Those are  $c_0, c_1, c_{i_1}, c_{i_2}, \dots, c_{i_{r-1}}$  where  $c_1$  is the coefficient of  $x$ ;  $c_{i_1}$  is the coefficient of  $x^{i_1}$  where  $i_1$  is the smallest integer such that  $i_1 \not\equiv 4^s \pmod{n}$  for any  $s$ ;  $i_2$  is the smallest integer larger than  $i_1$  such that  $i_2 \not\equiv 4^s$  or  $i_2 \not\equiv 4^{s i_1} \pmod{n}$  and so on.

The polynomial  $g_a(x)$  can therefore be written as

$$\begin{aligned} g(x) = & c_0 + c_1 x + c_1^4 x^4 + c_1^{4^2} x^{16} \dots \\ & c_{i_1} x^{i_1} + c_{i_1}^4 x^{4i_1} + \dots \\ & c_{i_2} x^{i_2} + c_{i_2}^4 x^{4i_2} + \dots \\ & c_{i_{r-1}} x^{i_{r-1}} + c_{i_{r-1}}^4 x^{4i_{r-1}} + \dots \end{aligned}$$

The coefficients  $c_i$  can also be given by the Reed formula

$$\begin{aligned} c_0 &= \sum_{i=0}^{n-1} a_i \\ c_1 &= \sum_{i=0}^{n-1} a_i \beta^{-i} \end{aligned}$$

$$c_k = \sum_{i=0}^{n-1} a_i (\beta^i)^{-k}$$

Thus  $c_0$  is in  $K = GF(2^2)$  and the  $c_k$  are contained in the smallest field  $L$  over  $K$  containing the  $n^{\text{th}}$  roots of unity. This also follows from the conditions  $c_{4i} = c_i^4$ .

Thus to every code word  $a \in V_n(K)$  is associated a unique\* set of  $(r(n) + 1)$  constants  $(c_0, c_1, c_{i_1}, \dots, c_{i_{r-1}})$ . This correspondence is linearly additive (3). In particular, to every subgroup  $V_k(K)$  of  $V_n(K)$  is associated a subgroup  $G$  of the direct product of  $K$  with  $r$  copies of  $L$ . Actually  $V_n(K)$  is the direct product of fields  $K \times L_1 \times L_2 \dots \times L_r$  where  $L_j$  is a subfield (proper or improper) of  $L$  and the degree  $(L/L_j) = \text{order of } i_j \text{ modulo } n$ . If  $n$  is a prime, the  $L_j = L$  all  $j$  and  $G$  for  $V_n(K) = K \times L^r$ . For example,  $n = 9$   $G_9(K) \cong G = K \times L \times L \times K \times K$ ,  $\deg (L/K) = 3$ . For  $n = 5$   $G = K \times L^2$ ,  $\deg (L/K) = 2$ .\*\*\*

We are concerned with the number  $r(n) + 1$  of independent constants at our disposal. The alphabet  $K = GF(2^2)$  is algebraically more fortunate than the alphabet  $F^{**}$ ,  $r(n)$  for  $F$  is sometimes 1. We have, however, for our case

Lemma 1: For  $n$  odd,  $r(n) \geq 2$ .

Proof:  $r(n) = 1$  implies that  $4^h \equiv 1 \text{ modulo } n$  has  $h = n-1$  as its smallest positive integer solution. Since 2 is prime to odd  $n$  we must have that  $2^{\phi(n)} \equiv 1 \text{ (modulo } n)$  where  $\phi(n)$  is the (Euler) number of integers prime to  $n$ . For  $n$  odd,  $\phi(n)$  is even  $(2m)$ . We have therefore  $4^m \equiv 1 \text{ (modulo } n)$  and  $m < n-1$ . Thus  $r(n) \geq 2$  q.e.d.

There are thus non-trivial cyclic codes for every odd  $n$ . In particular, the map  $(c_0, c, 0, 0, \dots) \rightarrow g(c_0, c, 0, 0; x = \beta^i)$   $i = 0, \dots, n-1$  gives us a cyclic code over  $K$  of dimension  $(1 + s)$

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\*Note that this depends on the choice of  $\beta$ .

\*\*See (3).

\*\*\*A correction of an earlier oversight in(3) thanks to S. Shatz.



where  $s = \text{degree of } L/K$  where  $L$  is the smallest field over  $K$  containing the  $n^{\text{th}}$  roots of unity. The codes we shall consider are obtained by setting any of the  $c_i$ ,  $i \neq 0$ , equal to zero. The groups of code words corresponding to this set (via  $g(\beta^i)$ ) are generated by linear recursive sequences associated with finite difference equations.

Let  $V_k(K)$  be a subgroup of  $V_n(K)$  which corresponds to the set  $(c_0, c_1, c_{i_1}, c_{i_2}, \dots, c_{i_{r-1}})$  where at least one of the  $c_i = 0$ . Then for  $\beta$  a primitive  $n^{\text{th}}$  root of unity, we form the polynomial  $f(x)$  over  $K$  in the following manner.

$$f(x) = \prod f_j(x) = \sum_{i=0}^k d_i x^i$$

where  $f_j(x)$  is the irreducible polynomial over  $K$  with  $\beta^{i_j}$  as a root. If  $k$  is the degree of  $f(x)$  then we associate to  $f(x)$  the difference equation of order  $k$

$$d_k y_{n+k} + d_{k-1} y_{n+k-1} + \dots + d_1 y_n = 0$$

The  $d_i$  are in  $K$  and for any  $k$  initial values in  $K$  we obtain a sequence of elements in  $K$  of period  $n$ . There is then the natural mapping of  $V_k(K)$  into  $V_n(K)$  arising by taking the sequence of length  $n$  generated by any initial sequence of length  $k$ . This is a standard cyclic code over the alphabet  $K$ .

### III. Error Correction Properties

We define the weight  $w(a)$  of a vector  $a$  in  $V_n(K)$  as the number of non-zero coordinates of  $a$ . It is immediate that  $w(a + b) \leq w(a) + w(b)$  and  $w(a) = 0$  if and only if  $a = 0$ . We may define a metric on  $V_n(K)$  by putting  $d(a, b) = w(a + b)$ . As in the binary symbol case, a  $(k, n)$  group code is said to be  $r$  error correcting if  $d(0, a) \geq 2r + 1$  for  $a$ , any non-zero vector. Thus, the error correcting properties are given by the minimum weight  $d$  of any non-zero  $a$ , i.e.,  $n$  minus the number of zero coordinates of the

$*i_j$  corresponds to  $c_{i_j} \neq 0$ .

vector  $a$ . Since to every vector of our imbedded space  $V_k$  is associated a polynomial  $g_a(x)$ , we need only look at the number of zeros of  $g_a(x)$  on our multiplicative group of  $n^{\text{th}}$  roots of unity to ascertain its weight.

#### IV. General Results

Let  $n$  be odd and let  $f(x) \in K[x]$  (the ring of polynomials over  $K$ ) divide  $x^n + 1$ . Let  $\zeta$  be a primitive  $n^{\text{th}}$  root of unity. We define

$$E(\zeta) = \{e; 0 \leq e < n, f(\zeta^e) = 0\}$$

Then if  $f(x)$  defines the recursion which imbeds  $V_k(K)$  into  $V_n(K)$ , the associated polynomials  $g_a(x)$  have degree at most  $m$ , the largest integer in  $E(\zeta)$ . Then we have

Theorem 1:\* Let  $\beta^{d_0}$  be the least positive power of  $\beta$  which is a root of  $f(x)$  then  $d \geq d_0$ .

Proof: It suffices to prove that for some primitive  $n^{\text{th}}$  root of unity  $\zeta$ , the set  $E(\zeta)$  has  $n-d_0$  as maximum. Then the number of zeros of  $g_a(x)$  is at most  $n-d_0$ , so the weight of  $a$  is at least  $n - (n-d_0) \geq d_0$ .

We are given that  $\beta, \beta^2, \dots, \beta^{d_0-1}$  are not roots of  $f(x)$  and that  $\beta^{d_0}$  is a root of  $f(x)$ . It follows immediately that  $E(\zeta)$  for  $\zeta = \beta^{-1}$  does not contain  $n-1, n-2, \dots, n - (d_0 - 1)$  but does contain  $n - d_0$ . This proof is from Mattson-Solomon(2).

We note that the set  $E(\zeta)$  which are the powers of  $x$  in  $g_a(x)$  contains  $4e$  modulo  $n$  if it contains  $e$ . If  $E(\zeta)$  contains  $2e$  modulo  $n$  for every  $e$ , then the polynomial  $g_a(x)$  has the same power of  $x$  as the  $g_a$  for  $K = F$ . This holds if  $2 = 4^s$  modulo  $n$  or  $2 = 2^{2s}$  or  $2^{2s-1} = 1$  modulo  $n$ , i.e.,  $2$  has odd order modulo  $n$ . For such  $p$ , the bound on  $d$  one obtains without investigating the coefficients is the Bose-Chaudhuri bound for the binary cyclic code of the same dimension.

Now where  $2$  does not have odd order, we get a very small general estimate of  $d_0$ , which we will improve here. In particular

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\*This theorem for  $K = F$  was proven in a different form first by Bose-Chaudhuri. For  $K = GF(p^m)$ , the Galois field of  $p^m$  elements, this was done by Zierler-Gorenstein.

for  $p \equiv \pm 3 \pmod{8}$  where 2 has order  $p-1$ , we obtain  $d \geq 3$ . We can improve this for particular  $p$  of this type and indeed give a general algorithm.

We now present two lemmas on polynomials which we shall need for error correcting properties.

**Lemma 2:** Let  $g(x) = b_{p-1} x^{p-1} + b_m x^m + \dots + b_0$  where  $b_i \in F$ ,  $i = 0, \dots, p-1$  and  $b_m b_{p-1} \neq 0$ . Then  $g(x)$  can have at most  $m+1$  zeros on  $Z$ , the group of  $p$ th roots of unity. Translated into coding terms, if  $g(x) = g_a(x)$  of a vector  $a$ , then  $\omega(a) \geq p - (m+1)$ .

**Proof:** Let  $r$  be the number of roots of  $g(x)$   $\{\beta_1, \dots, \beta_r\}$  in  $Z$ . Let  $(\gamma_1, \dots, \gamma_{p-r-1})$  be the other roots of  $g(x)$  contained in some suitable extension field. Let  $\beta'_1, \dots, \beta'_{p-r}$  denote the elements of  $Z$  which are not roots of  $g(x)$ . Denote by  $s(\beta, i)$ ,  $s(\beta', i)$ ,  $s(\gamma, i)$  respectively the sums of products of  $(\beta, \beta', \gamma)$  taken  $i$  at a time, ( $s(-, 0) = 1$ ). We have for the first  $l \leq p-1 - (m+1)$  values

$$\sum_{i+j=l} s(\beta, i) s(\beta', j) = \sum_{i+j=l} s(\beta, i) s(\gamma, j) = 0$$

since the appropriate coefficients in  $x^p + 1$  and  $g(x)$  are both zero. It then follows that for  $j \leq l$

$$s(\beta', j) = s(\gamma, j)$$

If  $p-r \leq p-m-2$ ,  $s(\beta', p-r) = 0$  since  $s(\gamma, p-r) = 0$ .  $s(\beta', p-r) = \prod \beta'_1 \dots \beta'_{p-r} = 0$  gives us a contradiction. Therefore  $p-r \geq p-m-1$  or  $r \leq m+1$ . q.e.d.

**Lemma 3:** Let  $g(x) = b_{p-2} x^{p-2} + b_m x^m + \dots + b_0$  where  $b_i \in F$   $i = 0, \dots, p-2$  and  $b_m b_{p-2} \neq 0$   $m \geq 1$ . Then for primes  $p$  where  $x^p + 1/1 + x$  is irreducible over  $F$ ,  $g(x)$  can have at most  $(m+1)$  zeros on  $Z$ . ( $d \geq p - (m+1)$ ).

Proof: Let  $\{\beta_1, \dots, \beta_r\}, \{\beta^1, \dots, \beta^1_{p-r}\}, \{\gamma_1, \dots, \gamma_{p-2-r}\}$  be as in Lemma 2.

For  $l \leq (p-2) - (m+1)$ , we have

$$\sum_{i+j=l} s(\beta, i) s(\beta^1, j) = \sum_{i+j=l} s(\beta, i) s(\gamma, j) = 0$$

and for  $j \leq l$  it follows that  $s(\beta^1, j) = s(\gamma, j)$ .

If  $p-r \leq p-m-2$  or  $p-r-1 \leq p-m-3$ ,  $s(\beta^1, p-r-1) = 0$  since  $s(\gamma, p-r-1) = 0$  but  $s(\beta^1, p-r-1)$  is the sum of  $(p-r)$  things taken  $(p-r-1)$  at a time.

$$\binom{p-r}{p-r-1} = (p-r) \text{ elements of } Z.$$

If  $p-r \leq p-1$ , i.e.,  $r > 1$ , this is impossible since  $x^p + 1/1 + x$  is irreducible so we get contradiction. So

$$p-r \geq p-m-1$$

$$r \leq m+1 \quad \text{q.e.d.}$$

Theorem 1: For  $p$  a prime where 2 has multiplicative order  $p-1$ , there exist  $(\frac{p+1}{2}, p)$  cyclic quaternary codes which correct at least one error.

The desired codes shall be vectors of the form  $g_a(\beta^i)$  where  $g_a(x)$  is parametrized by a pair of constants  $(c_0, c)$  ( $c_0 \in K$ ,  $c \in GF(2^{p-1})$ ),  $\beta$  a primitive  $p^{\text{th}}$  root of unity. The choice of the  $g$  will depend upon the particular  $p$  and will exhibit the error correcting properties immediately. The  $g$ 's chosen will be either of the type in Lemma 2 or Lemma 3. The lower bound  $d_0$  obtained will depend clearly on the integer  $m$  since for both Lemmas 2 and 3  $d \geq p-(m+1)$ . For particular  $p$ , we would like a general algorithm for the value of  $m$ . It is in the nature of these particular  $p$ , that we may use the theory of quadratic residues to make simple decisions as to which set

of  $g$  to choose and what value of  $m$  occurs. We therefore make a necessary aside and include the appropriate data.

We introduce the Legendre\* symbol  $\left(\frac{a}{p}\right)$  for  $a \neq 0$ . If  $x^2 = a$  modulo  $p$  has solutions in the field of  $p$  elements,  $GF(p)$ , we say that  $a$  is a quadratic residue of  $p$ . Symbolically  $\left(\frac{a}{p}\right) = +1$ . If  $a$  is not a quadratic residue of  $p$  we write  $\left(\frac{a}{p}\right) = -1$ .

For primes  $p$  where 2 has multiplicative order  $p-1$ , i.e., 2 is a primitive generator of the multiplicative group of  $GF(p)$ , the statement that  $a \in GF(p)$  is a power of 4 modulo  $p$  translates equivalently into  $\left(\frac{a}{p}\right) = +1$  and vice versa. For  $\left(\frac{a}{p}\right) = 1$  means  $x^2 = a$  modulo  $p$  has solutions  $x_0$  and  $p-x_0 \in GF(p)$ . But  $x_0 = 2^l$  for some integer  $l$ , since 2 is primitive. Therefore  $(2^l)^2 = (2^2)^l = 4^l = a$  modulo  $p$  -- i.e.,  $a$  is a power of 4. Note that 2 primitive implies  $\left(\frac{2}{p}\right) = -1$  since  $\left(\frac{2}{p}\right) = 1 \Rightarrow 2 = 4^s = 2^{2s}$  or  $2^{2s-1} = 1$ .  $2s-1$  odd divides  $p-1$  and 2 not primitive. We also need\*\* and use  $\left(\frac{a}{p}\right)\left(\frac{b}{p}\right) = \left(\frac{ab}{p}\right)$  for  $a$  and  $b$  prime to  $p$ .

Theorem 1': For  $p$  a prime where 2 has multiplicative order  $p-1$ , there exist  $\left(\frac{p+1}{2}, p\right)$  cyclic quaternary codes

$$a, a') \text{ if } \left(\frac{3}{p}\right) = -1, \quad d \geq 3$$

$$b, b') \text{ if } \left(\frac{3}{p}\right) = +1, \quad d \geq 4$$

$$c) \text{ if } \left(\frac{3}{p}\right) = +1 \text{ and } \left(\frac{5}{p}\right) = +1 \quad d \geq 5$$

Proof:

$$a) \quad \left(\frac{3}{p}\right) = -1$$

$$p = 8n + 3$$

Here  $\left(\frac{-1}{p}\right) = -1$ . So by the multiplication formula  $\left(\frac{-3}{p}\right) = 1$

$$\left(\frac{-4}{p}\right) = -1, \quad \left(\frac{-2}{p}\right) = +1$$

\*See Appendix for properties of  $\left(\frac{a}{p}\right)$ .

\*\*Formula 1 in Appendix.

The polynomial  $g_a(x) = c_0 + cx^2 + c^4x^{2 \cdot 4} + c^{4^2}x^{2 \cdot 4} + \dots$  has highest degree  $(p-1)$  and next highest power  $m = p-4$ . Lemma 2 gives us that  $d \geq p - (p-4+1) = 3$ .

a')  $p = 8n + 5$

Here  $\left(\frac{-1}{p}\right) = 1$  so  $\left(\frac{+3}{p}\right) = -1$ ,  $\left(\frac{-2}{p}\right) = -1$ ,  $\left(\frac{-4}{p}\right) = 1$ . Choose

$$g_a(x) = c_0 + cx + c^4x^4 + \dots$$

This polynomial again satisfies Lemma 2.

b)  $\left(\frac{3}{p}\right) = 1$

Case 1)  $p = 8n + 3$ ,  $\left(\frac{-1}{p}\right) = -1$ ,  $\left(\frac{-3}{p}\right) = -1$ ,  $\left(\frac{-2}{p}\right) = +1$ ,  $\left(\frac{-4}{p}\right) = -1$

$$\text{Choose } h_a(x) = c_0 + cx + c^4x^4 + \dots$$

Highest degree have is  $(p-2)$  and next highest is at most  $(p-5)$ . So Lemma 3 yields  $d \geq 4$ .

b')  $p = 8n + 5$   $\left(\frac{-1}{p}\right) = 1$ ,  $\left(\frac{-2}{p}\right) = -1$ ,  $\left(\frac{-3}{p}\right) = 1$ ,  $\left(\frac{-4}{p}\right) = 1$ ,  $\left(\frac{-5}{p}\right) = ?$

$$\text{Choose } h_a(x) = c_0 + cx^2 + c^4x^{2 \cdot 4} + \dots$$

Lemma 3 again applies and  $d \geq 4$ .

c) If  $\left(\frac{5}{p}\right) = +1$ , Lemma 3 yields  $d \geq 5$ .

We note here that  $\left(\frac{6}{p}\right) = -1$  for case b since we have  $\left(\frac{2}{p}\right) = -1$ .

We note that we need a detailed version of lemmas 2 and 3 plus new values of  $\left(\frac{a}{p}\right)$  to get sharper estimates on the bound.

## V. Encoding

Corresponding to the desired  $g_a(x)$  or  $h_a(x)$  we choose the polynomial  $f(x)$  over  $k$  whose roots are the appropriate powers of  $\beta$  --  $\beta$  a primitive  $p$ th root of unity. The powers chosen are of course the exponents of  $x$  in  $g_a(x)$  or  $h_a(x)$ . We then generate the codes by

associating the appropriate difference equation with  $f(x)$  subject to  $(\frac{p+1}{2})$  initial conditions in  $K$ .

# VI. Examples

## Ex. 1 $p = 5$

Here we have a single error correcting (3-5) cyclic quaternary code. This (3, 5) code is also obtained by Golay<sup>4</sup> in a different manner.

Here  $p \equiv 5$  (modulo 8) and  $(\frac{-3}{5}) = -1$ , so we choose, as in case a',  $g_a(x) = c_0 + cx + c^4 x^4$ ,  $c_0 \in K$ ,  $c \in L = GF(2^4)$ . Choose  $\gamma$  a generator of the multiplicative group  $L^*$  of  $L$  -- i.e.,  $\gamma^{15} = 1$  -- say  $\gamma$  satisfies  $\gamma^4 + \gamma + 1 = 0$ . Let  $\beta = \gamma^3$  then  $\beta$  is a primitive 5<sup>th</sup> root of unity. Let  $f(x) = (x+1)(x+\beta)(x+\beta^4)$   
 $= (x+1)(x^2 + (\beta + \beta^4)x + \beta^5) = (x+1)(x^2 + (\beta + \beta^4)x + 1)$ . Now  $\beta + \beta^4 \in K$ ,  $\beta + \beta^4 = \gamma^{10}$  say and  $\gamma^{10} + \gamma^5 + 1 = 0$ . So  
 $f(x) = x^3 + \gamma^5 x^2 + \gamma^5 x + 1$

Consider the associated difference equation

$$y_{n+3} + \gamma^5 y_{n+2} + \gamma^5 y_{n+1} + y_n = 0$$

Any three initial values in  $K$  will generate sequences of period 5. This (3, 5) code will correct one error by the general theorem. It is optimum as a computation will verify that it is closely packed.

## Ex. 2 The (6-11) c.q. code:

1. Since  $(\frac{-3}{11}) = -1$ , we are in case b.

$$h_a(x) = c_0 + cx + c^4 x^4 + c^4 x^5 + c^4 x^9 + c^4 x^3$$

Here  $m = 5$ , so by Lemma 3, the number of roots of  $h(x)$  in  $Z$  is at most 6, so  $d \geq 11 - 6 = 5$ .

Putting it in terms of quadratic residues

$$(\frac{-3}{11}) = -1, (\frac{-4}{11}) = -1, (\frac{-5}{11}) = (\frac{6}{11}) = -1$$

Generalization: Let  $K = GF(p^m)$  be the Galois field of  $p^m$  elements. Consider the group codes of  $V_n(K)$  where  $p$  and  $n$  are relatively prime for  $(p, n) = 1$ . Each  $(k, n)$  group code  $A$  corresponds to a set of polynomials indexed by a set of constants  $(c_0, c_1, c_{i_1}, c_{i_2}, \dots, c_{i_{r-1}})$  where  $r$  is the number of irreducible factors over  $K$  of  $(x^n + 1)/(1 + x)$ ;  $c_0 \in K$  and  $c_i \in L$ , the smallest field over  $K$  containing the  $n^{\text{th}}$  roots of unity. \* To any group code  $A$  is assigned a subgroup  $G$  of the direct product of  $K$  with  $r$  copies of  $L$ .

If  $m = 2$ , then  $r(n) \geq 2$  for any  $p$  and we have a set of non-trivial cyclic codes obtainable by setting some of the  $c_i = 0$ . This is also the case if  $m \mid (n - 1)$ . Error correcting bounds are formulated then in number-theoretic terms analogous to the  $2^2$  case. If  $m$  and  $n - 1$  are relatively prime, we obtain the cyclic codes corresponding to the  $p$  letter case and the general lower bound is the Zierler-Gorenstein one. Improvement on the bound may come from examination of the coefficients of the polynomials themselves.

For  $n$  and  $p^m$  for which  $r(n) = 1$ , we may use the procedure outlined in 3), and obtain pseudo-cyclic variations.

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\*As before, we choose  $\beta$  a primitive  $n^{\text{th}}$  root of unity. Then to each code word  $c \in A$  we associate the polynomial  $g(x, \beta, c_0, c_{i_0}, \dots, c_{i_{r-1}})$  such that  $g(\beta^i) = a_i$ .



# Algebraic Appendix\*

## 1. The Legendre symbol $\left(\frac{a}{p}\right)$

Def.: If  $p$  is a prime, we say that  $a \neq 0$  is a quadratic residue of  $p$  (symbolically  $\left(\frac{a}{p}\right) = +1$ ) if the equation  $x^2 = a$  modulo  $p$  has solutions in the field of  $p$  elements. Clearly since  $x_0^2 = (p-x_0)^2$  there are  $\frac{p-1}{2}$  quadratic residues of  $p$ . We put  $\left(\frac{a}{p}\right) = -1$  if  $a$  is not a quadratic residue.

The following properties of the Legendre symbol are well known.

$$1. \left(\frac{a}{p}\right) \left(\frac{b}{p}\right) = \left(\frac{ab}{p}\right) \text{ for } a \text{ and } b \text{ prime to } p$$

$$2. \left(\frac{2}{p}\right) = 1 \text{ if } p \equiv \pm 1 \text{ Modulo } 8$$

$$\left(\frac{2}{p}\right) = -1 \text{ if } p \equiv \pm 3 \text{ Modulo } 8$$

$$3. \left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$$

## 4. Law of Quadratic Reciprocity

$$\left(\frac{p}{q}\right) = - \left(\frac{q}{p}\right) \text{ if } p \text{ and } q \text{ are both of the form } 4k - 1$$

$$\left(\frac{p}{q}\right) = \left(\frac{q}{p}\right) \text{ all other cases.}$$

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\*Le Veque, Topics in Number Theory, Vol. 1, Chapter 5, Addison-Wesley (1956).

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